

SUMMARY

Senior Product Manager with over 15 years' experience leading product development at Bank of America, MUFG, and BNP Paribas. Expert in delivering large-scale FinTech solutions, building trading platforms, and aligning stakeholders by translating business needs into scalable technical requirements.

- Re-platformed EquiLend Middle Office for the OCC Market Loan Program, supporting \$2.36 billion in borrowed securities and enabling seamless settlement.
- Built an in-house Stock Lending Back Office system, replacing legacy infrastructure, saving \$2.5M.
- Maintained the data warehouse for MUFG US region, ensuring reliable liquidity risk reporting.

SKILLS

- BABOK principles
- Stakeholder Management
- Cross-Functional Leadership
- Agile Delivery & Prioritization
- Product Strategy & Roadmapping
- Business Case Development
- Product Lifecycle (PLM)
- UX & Workflow Design
- Technical Collaboration
- Requirements Gathering & Functional Specs
- Jira / Backlog
- Microsoft 360 (Advanced)
- SQL / HTML
- Figma
- AI-Enabled Product Development

FINANCIAL KNOWLEDGE

- Trade Lifecycle Management
- Order Management System
- DTC Integrations
- Front-to-Back Office Workflows
- Accounting Statements
- General Ledger
- Derivatives, Securities, Loans, and Fixed Income.
- Derivatives & Securities
- Tableau
- Bloomberg
- Geneva

PROFESSIONAL EXPERIENCE

ThinkVest Solutions, Inc.

January 2021 – Present

Head of Products

NEW YORK, NY

Led product vision, roadmap, and MVP scope for a regulated FinTech marketplace enabling non-dilutive, IP-backed financing through structured financial instruments. Translated partner objectives, legal constraints, valuation inputs, and regulatory requirements into scalable digital workflows and customer-facing product experiences..

- Led an outsourced engineering team using detailed PRDs and acceptance criteria to deliver a functioning demo platform from concept.
- Designed and delivered core platform capabilities including issuer onboarding, financial instrument creation, investor purchase flows, and administrative oversight.
- Built an order management and monitoring layer supporting alerts, operational visibility, and admin controls aligned with institutional trading practices.
- Ensured financial instrument workflows aligned with ISO-style standards to support scalability and post-trade consistency.
- Validated product direction through direct engagement with IP valuation providers, legal advisors, and prospective market participants.
- Independently developed UX, website, and customer-facing materials (Figma), creating demo-ready experiences.



EquiLend Clearing Services

May 2018 – Jun 2020

Senior Product Manager

New York, NY

Re-platformed the EquiLend Clearing Services stock lending middle office supporting the OCC Market Loan Program, a mission-critical platform processing approximately \$2.36B in average daily securities lending volume. Owned product strategy, feature definition, and delivery execution across multiple workstreams in a highly regulated, cross-functional environment.

- Partnered directly with OCC and EquiLend engineering to redesign and deliver a middle-office platform enabling anonymous stock loan settlement through OCC as central counterparty, with DTC clearing.
- Owned product strategy, feature definition, and milestone execution, aligning release planning with client commitments, regulatory constraints, and technical dependencies.
- Translated OCC change requests into detailed solution proposals, scope estimates, and development plans, coordinating closely with technology and QA to size effort and sequence delivery.
- Led on-site and remote client working sessions to present solutions, validate workflows, address gaps, and secure formal stakeholder signoff.
- Drove execution rigor through regular status and risk-management meetings with client, engineering, and QA teams, proactively identifying and resolving bottlenecks and delivery risks.
- Authored comprehensive solution documents, Visio workflow diagrams, screen schematics, and executive presentations to support build, testing, and institutional adoption.
- Managed agile delivery using VersionOne (SCRUM), creating and tracking user stories and defects across multiple workstreams and test phases.
- Coordinated complex testing strategies across teams and environments, supporting QA with validation of sensitive workflows including rebate accruals and corporate action events.

BNP Paribas Securities Services

Dec 2014 - May 2018

Senior Product Manager

NEW YORK, NY

Managed a multi-year initiative to replace FIS Loanet with a custom-built stock lending back-office platform, delivering ~\$2.5M in annual cost savings and modernizing end-to-end lifecycle management for stock loan positions.

- Owned the full product lifecycle for an in-house platform managing stock loan lifecycles, intraday trade capture from front office systems, reconciliations with internal and external platforms, general ledger books and records, reporting, and rebate accruals.
- Served as primary product liaison across Loanet, OCC, DTCC, and EquiLend, leading requirements gathering, vendor coordination, SOW execution, testing, and production readiness.
- Drove governance and executive alignment by preparing and presenting materials for Investment Committee, Architecture Review, SteerCo, OpsCo, and Go/No-Go decisions, securing signoff from Legal, Compliance, Security Architecture, Regulatory Reporting, and Procurement.
- Translated complex business needs into detailed requirements, Visio workflow diagrams, and design documentation, partnering closely with US onshore and India offshore engineering teams through design, build, SIT, and UAT.
- Managed delivery execution using JIRA and Clarity, including roadmap planning, issue tracking, risk mitigation, and monthly status reporting to senior management.



 **MUFG Union Bank, N.A.**

Apr 2011 - Dec 2014

Product Manager

NEW YORK, NY

Executed a large-scale core banking replacement, consolidating five complex product platforms into a centralized data warehouse. Enabled US regional liquidity risk reporting aligned with Basel III requirements, while ensuring dual reporting consistency across US and Japanese general ledgers.

- Led end-to-end delivery across requirements gathering, impact analysis, implementation, deployment, and post-production quality control.
- Partnered closely with IT teams and subject matter experts across upstream and downstream systems to ensure accurate data flow and regulatory integrity.
- Authored functional specifications, user requirements documents, change controls, test plans, and project schedules.
- Analyzed business and data impacts of system changes, coordinating updates across dependent processes and reporting consumers.
- Served as subject matter expert for the global exposure system at Tokyo Head Office, supporting product, customer, cash flow, and accounting data across US and Latin American branches.
- Built SQL-based test validations, joining multiple tables using field-level translation logic to verify expected results.
- Worked directly with developers to clarify data mappings, transformation logic, and warehouse table design.

 **Infohedge Technologies**

Jun 2008 - Jul 2009

Product Manager

NEW YORK, NY

Led a client-facing implementation for a major hedge fund (Apollo Capital), configuring Paladyne systems to integrate with VPM and Bloomberg across all traded securities.

- Delivered end-to-end rollout of all four Paladyne modules: Portfolio Master, Portfolio Analytics, Security Master, and Price Master.
- Partnered directly with client stakeholders to gather requirements, translate operational needs into system functionality, and coordinate development and testing.
- Configured complex security setups and data flows across Paladyne products and external vendors, ensuring accurate pricing, reference data, and portfolio analytics.
- Advised clients on multiple data management strategies, explaining tradeoffs between Paladyne, VPM, Bloomberg, and other market data providers.
- Managed Golden Copy Security Master maintenance, coordinating with data vendors to resolve data quality issues and ensure timely updates.
- Analyzed and validated corporate action processing, ensuring correct security updates resulting from reorganizations and lifecycle events.
- Investigated data flow issues across platforms, created tickets for defects and enhancements, and collaborated with engineering on resolutions and workarounds.
- Delivered hands-on user training and post-implementation knowledge transfer, enabling clients to customize reports and operate the platform independently.



 **Bank Of America**

Nov 2006 - Jun 2008

Middle Office Business Analyst

NEW YORK, NY

Performed middle office controller functions for distressed bonds, equities, options, loans, and CDSs.

Analyzed and updated existing Excel macros for changes in a complex reconciliation process and created new functions for more efficient daily processing and month-end reconciliation, using data from multiple systems on various security types.

- Trained new employees on systems and tools used in our group.
- Analyzed and created Daily/MTD/YTD P&L reports, Balance Sheet, Income Statements, Cash Flows, and VBA Recs.
- Processed and analyzed corporate actions such as loan/bond for equity exchanges, reorgs, factor pay-down, and option expiration.
- Assisted traders in processing accurate reorgs and resolving any P&L reporting issues by working with DTC, Bloomberg system, and various departments such as operations, derivatives desk, and back office.

 **SS&C GlobeOp Financial Services**

Nov 2005 - Nov 2006

Middle Office Business Analyst

NEW YORK, NY

Middle and Back Office Administrator for a major Hedge Fund using the Advent Geneva accounting system.

- Had exposure to a wide variety of security instruments including but not limited to Fixed Income, CDS, Swaps, Options, Equities, Forwards and Futures, and processing of their corporate actions.
- Controller for proper facilitation of information between the client, internal systems, Geneva, and the Prime Broker.
- Build reconciliations and check to capture all the components of the net asset value.
- Standard month-end process and packaging. Putting together Excel Monthly Books of reconciled Portfolios as a third party.
- Trained new employees on daily P&L and system functions.

EDUCATION

 **PACE UNIVERSITY**

September 2000 - June 2005

Bachelor of Business Administration | Finance Major

 **NEW YORK UNIVERSITY**

March 2014 - April 2014

Business Analysis Certificate Program (BACP)